

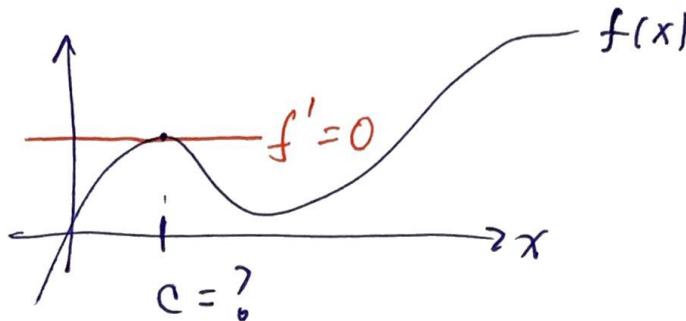
## Chapter 3

## Applications of Differentiation

1

### 3.1 Extrema (aka optimization)

Setting:



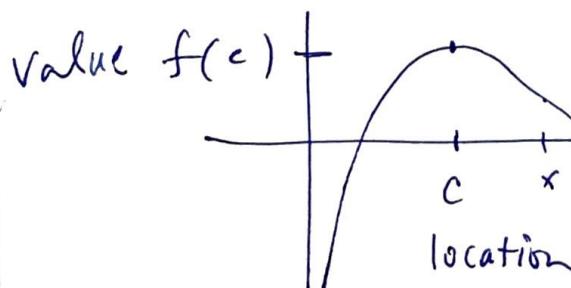
Q: given  $f(x)$ , how can I find the values of  $x$  that yield the most return from my function.

A: The extrema (maximum or a minimum) occur when the derivative of  $f$  is 0. {short answer}

DEF: "Extrema" are values of  $f$  that are maximums or minimums.

DEF: Let " $c$ " be a number in the domain  $D$  of a function  $f(x)$ .

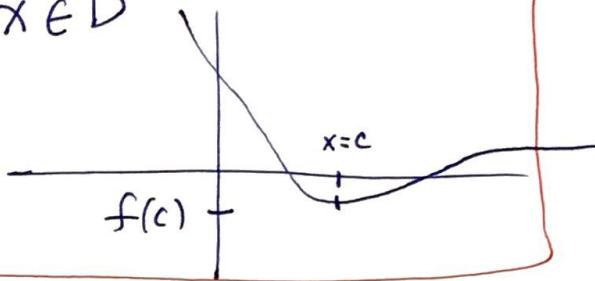
Then  $f(c)$  is (a) The absolute max value of  $f$  if  $f(c) \geq f(x)$  for all  $x$  in  $D$ .



Then  $f(c)$  is

- (b) the absolute minimum value of  $f(x)$   
is  $f(c) \leq f(x) \forall x \in D$

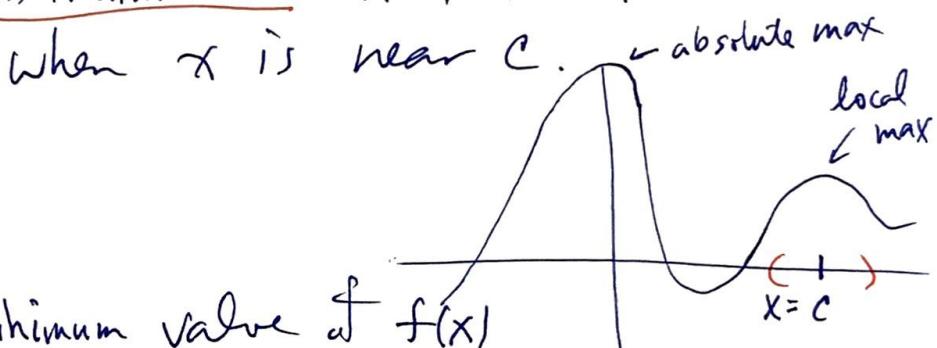
(2)



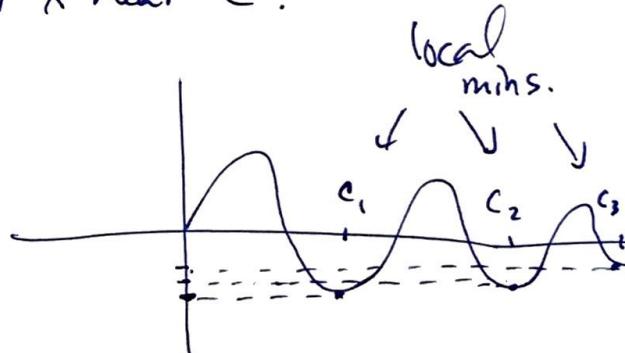
And "for all", " $\epsilon$ " element of

DEF: The number  $f(c)$  is

- (a) a local maximum value of  $f$  if  $f(c) \geq f(x)$   
when  $x$  is near  $c$ .

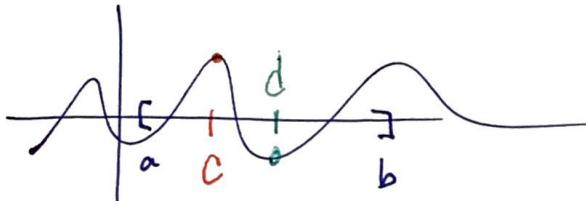


- (b) a local minimum value at  $f(x)$   
if  $f(c) \leq f(x) \forall x$  near  $c$ .

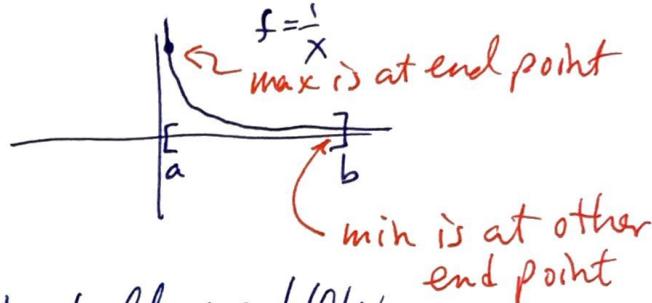


### THM : The Extreme Value Theorem (EVT)

If  $f(x)$  is continuous on  $[a, b]$ <sup>closed</sup>  
 then  $f(x)$  attains an absolute maximum value  
 $f(c)$  and an absolute minimum  $f(d)$  for  
 some "c" and some "d" in  $[a, b]$ .



OR



\*This theorem does not tell us HOW to find these extrema.

### THM: Fermat's theorem

If  $f(x)$  has a local extrema at  $x=c$   
 and if  $f'(c)$  exists  
 then  $f'(c) = 0$



logic: local extrema  $\wedge$   $f'$  exists  
 and  $\rightarrow$   $f' = 0$

if - then -  
 logic:  $a \rightarrow b$  "a" implies "b"  $\Leftarrow$  conditional  
 $b \rightarrow a$  the converse "b" implies "a"  
 $\sim b \rightarrow \sim a$  not "b" implies not "a"  $\Leftarrow$  contrapositive  
 ↑ negate or opposite or "not"

(4)

WARNING: Fermat's theorem does not work  
the other way around! (nec'y)

i.e. If  $f' = 0 @ x=c$ , then  $f @ x=c$  need not  
be an extrema.

in other words : the converse is not nec'y true.

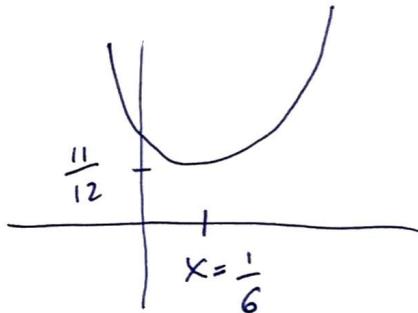
[Ex] Where is  $f(x) = 3x^2 - x + 1$  at it's minimum?

Fermat's Thm:  $f'(x) = 6x - 1$  and set it to 0

$$\Rightarrow 0 = 6x - 1$$

this means  $x = \frac{1}{6}$

location of the minimum.



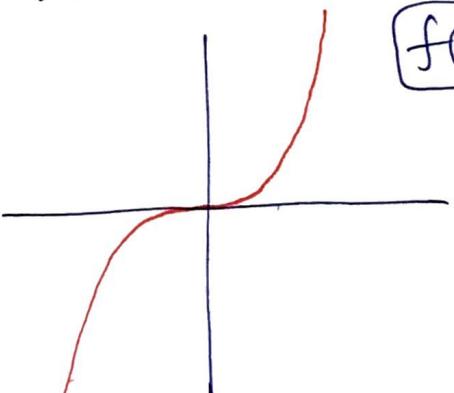
{ This is the 1<sup>st</sup> time we have used a derivative to find the vertex! }

Min value:  $f\left(\frac{1}{6}\right) = 3\left(\frac{1}{6}\right)^2 - \left(\frac{1}{6}\right) + 1 = \frac{1}{12} - \frac{2}{12} + \frac{12}{12} = \boxed{\frac{11}{12}}$

[Ex] Counter example: (Local Extrema premise fails)

$$f(x) = x^3 \quad f'(x) = 3x^2$$

So  $f'(x)$  is 0 @  $x=0$  and  $f'$  exists.

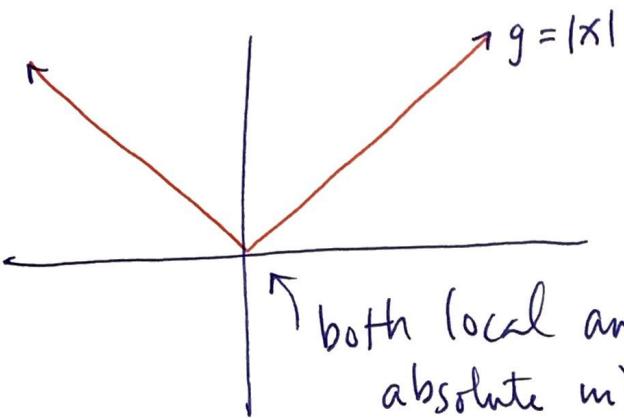


But  $f(0)$  is not a abs. max or min!

The local extrema condition was not met.

**EX** Counter Example #2 (diff'ble @ minimum fails) (5)

Verify if  $g(x) = |x|$  has a local min or max @ some "c"



both local and absolute minimum

•  $g(x)$  is a minimum since all other values of  $g(x)$  are greater than  $g(0)$ .

• but  $g'$  D.N.E @  $x=0$   
{ prem3 #2 fails }

So  $x=0$  is a minimum but  $g'(0) \neq 0$ , it D.N.E.

(\*) In order to find extreme values of  $f(x)$ , we look for a number "c" such that

$$f'(c) = 0 \text{ -OR- } f'(c) \text{ D.N.E.}$$

We call these numbers critical points of  $f(x)$ .

procedures to locate extrema in  $[a, b]$  <sup>closed</sup> int'l

(i) find all critical values of  $f(x)$  in  $(a, b)$

(ii) find the values of  $f(x)$  at the endpoints "a" & "b"

(iii) {The max value in this set is the abs. max,  
the min value in this set is the abs. min.}

**EX** Find the abs. max and min values of  $f(x)$  on the interval  $[0, 3]$

⑥

$$f(x) = \frac{x}{x^2 - x + 1}$$

$$(i) f'(x) = \frac{(x)'(x^2 - x + 1) - x(x^2 - x + 1)'}{(x^2 - x + 1)^2}$$

$$f'(x) = \frac{-x^2 + 1}{(x^2 - x + 1)^2}$$

• set equal to zero :  $-x^2 + 1 = 0 \quad x^2 = 1$   $x = +1, -1$

but only  $x = 1$  is in  $[0, 3]$

$$f(1) = \frac{1}{1^2 - 1 + 1} = \underline{\underline{1}}$$

(ii) endpoints

$$f(0) = \frac{0}{0^2 - 0 + 1} = 0$$

$$f(3) = \frac{3}{3^2 - 3 + 1} = \frac{3}{7}$$

critical values are

$$\Rightarrow \left\{ f(0) = 0, f(1) = 1, f(3) = \frac{3}{7} \right\}$$

(iii) analysis of values:

Abs. max on  $[0, 3]$  of  $f$  is 1 @  $x = 1$

Abs. min on  $[0, 3]$  of  $f$  is 0 @  $x = 0$

BTW: when is  $x^2 - x + 1 = 0$  ?  $x = \frac{-(-1) \pm \sqrt{(-1)^2 - 4(1)(1)}}{2 \cdot 1}$  Complex

$a=1 \quad b=-1 \quad c=1$

This means the denominator is never zero.

(7)

EX

$$f(x) = \frac{1}{5}x^5 + x^4 - 4x^3 + 3$$

Analyze this using derivatives and desmos.com

$$f'(x) = x^4 + 4x^3 - 12x^2$$

$$0 = x^4 + 4x^3 - 12x^2$$

$$= x^2(x^2 + 4x - 12)$$

$$= x^2(x - 2)(x + 6)$$

$x = 0, 2, -6$  as critical points

$$\left\{ \begin{array}{l} f(0) = 3 \\ f(2) = \frac{2^5}{5} + 2^4 - 4(2)^3 + 3 = \frac{-32}{5} = -6\frac{3}{5} \\ f(-6) = \frac{(-6)^5}{5} + (-6)^4 - 4(-6)^3 + 3 = \frac{3039}{5} = 607\frac{4}{5} \end{array} \right.$$

$\rightarrow \frac{32}{5} + 16 - 32 + 3 = \frac{32}{5} - 16 + 3 = \frac{32 - 80 + 15}{5} = -\frac{33}{5} = -6$ 
  
 $\rightarrow -\frac{7776}{5} + 1296 + 864 + 3 = \frac{7776}{5} + 2163\left(\frac{5}{5}\right) = \frac{3039}{5} = 607\frac{4}{5}$

Math 211

CW Week 5

Name \_\_\_\_\_

1. Find all local and absolute extrema

$$\text{for } f(x) = \frac{x-2}{x^2+1} \text{ on } [-1, 2]$$

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(i) critical values

(ii)  $f(x)$  at end points

(iii) extreme max =  
extreme min =